



Counter-example Guided Abstract Refinement for NN Verification

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1. Context

Neural networks are widely adopted in diverse fields and applications. While they provide fast and useful results, they have been proved to suffer from safety and reliability issues.

- Neural Networks are functions organized in layers
- Connections between nodes represent linear transformations
- Nodes apply non-linear *activation* functions

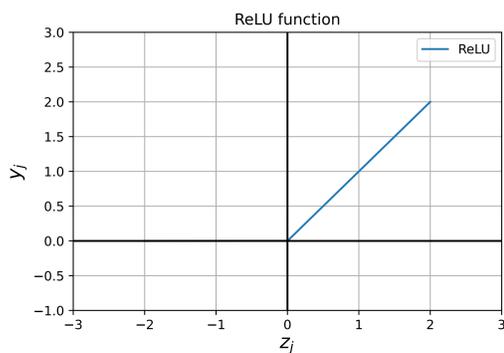


Figure 1: The ReLU activation function, corresponding to $y_j = \max(0, z_j)$

2. Abstract analysis

Abstracting the input domains as sets we reason on how the network transforms them, using exact and over-approximated algorithms.

The problem of NN verification is to compute the output reachable set and prove it does not intersect some unsafe zone.

If the over-approximation invalidates the property, we can try to refine the abstraction in order to mitigate the approximation error.

| | Algorithm | | |
|----------------------|-----------|-------------|-------|
| | Complete | Approximate | Mixed |
| Exact output | X | | |
| Combinatorial growth | X | | |
| Quadratic growth | | | X |
| Linear growth | | X | |

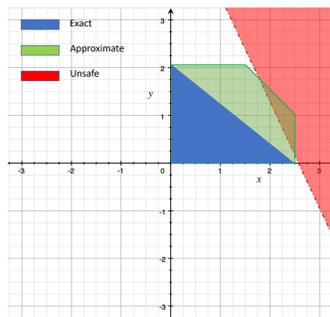


Figure 2: An example of the approximate reachable set (green) violating the safety property (red) while the exact reachable set (blue) is safe.

3. CEGAR

Exact algorithm. If the output reachable set lies in the unsafe zone the property is violated. The counter input set containing all possible inputs in the input set that lead the neural network to unsafe states is

$$C = \bigcup_{i=1}^k (c, V, \bar{P}_i), \bar{P}_i \neq 0$$

Approximate algorithm. The approximation introduces new variables and the inversion of the output set is not possible. We define the *abstract counter output set* (ACOS) the intersection between the output and the unsafe zone. We sample \hat{y} from the ACOS and use a minimization problem for finding a \hat{x} in the input

$$\hat{x} = \min_x \|\hat{y} - \nu(x)\|_2$$

Relevance. If the search problem fails due to non-convexity, we measure the *relevance* of neurons enhancing it with the areas of approximate ReLUs: the approximation is larger when the sets are widespread.

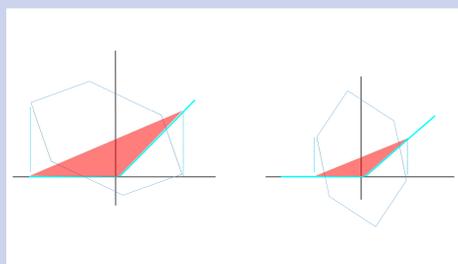


Figure 3: An example of the measure of neuron relevance: tighter bounds produce triangles with smaller areas (left).

4. Experiments and Conclusions

ACAS-Xu benchmarks are a well-known case study in avionics.

| PROPERTY NETWORK | MIXED | | CEGAR-PS | | CEGAR-mR | | |
|------------------|-------|----------|----------|----------|----------|----------|-------|
| | TIME | VERIFIED | TIME | VERIFIED | TIME | VERIFIED | |
| # 3 | 1.1 | 13 | T | 10 | 3/10 | 9 | 9/10 |
| | 1.3 | 10 | T | 14 | 6/10 | 10 | 0/10 |
| | 2.3 | 7 | T | 10 | 9/10 | 7 | 6/10 |
| | 4.3 | 15 | T | 17 | 10/10 | 14 | 10/10 |
| # 4 | 5.1 | 6 | T | 11 | 10/10 | 9 | 10/10 |
| | 1.1 | 11 | T | 10 | 0/10 | 9 | 0/10 |
| | 1.3 | 8 | T | 16 | 0/10 | 11 | 0/10 |
| | 3.2 | 12 | T | 12 | 10/10 | 12 | 10/10 |
| | 4.2 | 12 | T | 11 | 10/10 | 12 | 10/10 |

- The mixed algorithm only considers the approximation areas
- CEGAR-PS computes the product of the relevances and the areas
- CEGAR-mR only considers the relevance

The CEGAR algorithms do not clearly enhance the results, but give us insight on how the neurons behave and add a level of explainability to the verification algorithm.

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